n re application of: erial No.:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Alexander Lifson

10/784,409

Filing Date:

February 23, 2004

Group Art Unit:

3744

For:

Fluid Diode Expansion Device For Heat Pumps

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PTO form 1449 is submitted herewith pursuant to the provisions of 37 CFR 1.97 and 1.98(a) as a means of complying with the requirements of 37 CFR 1.56 with respect to the above identified application. Pursuant to Rule 1.98(d) copies need not be provided of the patents listed in the attached Form PTO-1449. None of these references discloses the invention claimed in the subject application. Also enclosed is the Search Report.

Pursuant to 37 C.F.R. 1.97(e)(1), each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement. Applicant respectfully requests the Examiner to consider the enclosed references on PTO-1449 submitted herewith.

This Information Disclosure Statement is being submitted after receipt of the first Office Action. Enclosed is a check in the amount of \$180.00, pursuant to 1.17(p). Should there be a fee associated with this document, please charge it to Deposit Account No. 50-1482.

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CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that the attached Supplemental IDS, Form PTO-1449 and Search Report are being deposited with the U.S. Postal Service as First Class Mailing, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 27043-1450 on Inly 25, 2005.

Lesley Upton

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known | | | |
|------------------------|-------------------|--|--|
| Application Number | 10/784,409 | | |
| Filing Date | February 23, 2004 | | |
| First Named Inventor | Alexander Lifson | | |
| Art Unit | 3744 | | |
| Examiner Name | Mohammad M. Ali | | |
| Attorney Docket Number | 60.246-251/10751 | | |

| | | | U. S. PATENT | DOCUMENTS | |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code ^{2 (# known)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | ^{US-} 6,199,399 | 03/12/2001 | Voorhis | |
| | | ^{US-} 5,345,780 | 09/13/1994 | Aaron et al. | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
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| Examiner Initials* | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | |
| _ | | Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>) | MM-DD-YYYY | | Or Relevant Figures Appear | T⁵ |
| | | Search Report PCT/US05/03731 | | | | |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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